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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,490	04/23/2001	Akira Nakamura	SONYJP 3.0-159

CONFIRMATION NO. 5706

FORMALITIES LETTER



OC00000006415577

LERNER, DAVID, LITTENBERG,
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600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090-1497

Date Mailed: 08/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*



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PART 2 - COPY TO BE RETURNED WITH RESPONSE

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November 13, 2001

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Office of Initial Patent Examination
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Washington, D.C. 20231

Re: Our File: SONYJP 3.0-159
Appln. No. 09/840,490
Filing Date: April 23, 2001
Group Art Unit: 2181

Dear Sir:

We are enclosing herewith a copy of the filing receipt for the above-identified patent application.

Please note that the second applicant's name should read --**Tetsuya SATO**--, not "Sata."

Kindly issue a corrected filing receipt for the above-identified application as soon as possible.

Sincerely yours,

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLIK, LLP



ROBERT B. COHEN

Reg. No. 32,768

RBC:ls

Enclosure

326395_1.DOC



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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/840,490	JAN 04 2002	2181	790	SONY JP 3.0-159	30	12	4

CONFIRMATION NO. 5706

FILING RECEIPT



OC000000006415576

LERNER, DAVID, LITTENBERG,
KRUMHOLZ & MENTLINK, LLP
600 SOUTH AVENUE WEST
WESTFIELD, NJ 07090-1497

Date Mailed: 08/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Akira Nakamura, Tokyo, JAPAN;
Tetsuya Sata, Kanagawa, JAPAN;

Domestic Priority data as claimed by applicant

Foreign Applications

JAPAN P2000-128127 04/27/2000

If Required, Foreign Filing License Granted 08/11/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

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AUG 15 2001

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Title

Electronic equipment, and method for controlling state of physical layer circuit therefor

Preliminary Class

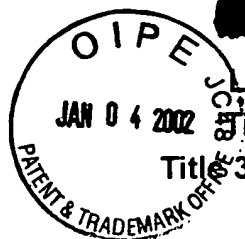
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Data entry by : PHAM, TRUONG

Team : OIPE

Date: 08/13/2001





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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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NOT GRANTED

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PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

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Washington, DC 20231

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231, on the date shown below.

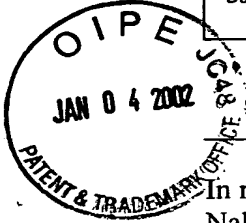
Dated: November 13, 2001

Signature:

(Robert B. Cohen)

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Docket No.: SONYJP 3.0-159
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Nakamura et al.

Application No.: 09/840,490

Group Art Unit: 2181

Filed: April 23, 2001

Examiner: Not Yet Assigned

For: ELECTRONIC EQUIPMENT, AND METHOD
FOR CONTROLLING STATE OF PHYSICAL
LAYER CIRCUIT THEREFOR

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

BOX MISSING PARTS

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed for filing please find a Declaration and Power of Attorney in connection with the above-identified application. Please charge our Deposit Account No. 12-1095 in the amount of \$130.00 covering the fee set forth in 37 CFR 1.16(e). Also enclosed is a copy of PTO form entitled "NOTICE TO FILE MISSING PARTS OF APPLICATION."

If the Examiner has any questions concerning this application, he or she is requested to call applicant's attorney at (908) 654-5000. If any additional fees are required by the present Communication, the Examiner is hereby authorized to charge them to our Deposit Account No. 12-1095.

Dated: November 13, 2001

Respectfully submitted,

By 

Robert B. Cohen

Registration No.: 32,768

LERNER, DAVID, LITTENBERG,
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